

# From Trees to Graphs and Back Again

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# Trees and graphs

- Tree structures are ubiquitous in functional programming.
- Some applications are, however, best served by manipulating proper graphs rather than trees.
- Programming with graphs is far more involved than programming with trees.
- Can we *write* programs over trees and *apply* them to graphs?

# Example

## An EDSL for simple expressions

```
data Expr = Const Int      -- integer constant
          | Add Expr Expr  -- addition
```

```
eval :: Expr → Int
```

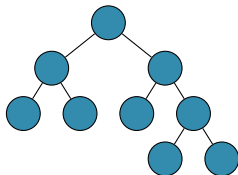
```
eval (Const n) = n
```

```
eval (Add e1 e2) = eval e1 + eval e2
```

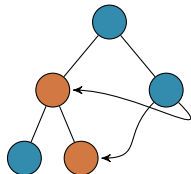
# Example

The fourth Fibonacci number, twice

```
e :: Expr
e = Add e1 e2
  where
    e1 = Add (Const 0) (Const 1)
    e2 = Add (Const 1) e3
    e3 = Add (Const 0) (Const 1)
```



```
e' :: Expr
e' = Add e4 e5
  where
    e4 = Add (Const 0) e6
    e5 = Add e6 e4
    e6 = Const 1
```



# Example

## Sharing is nonobservable

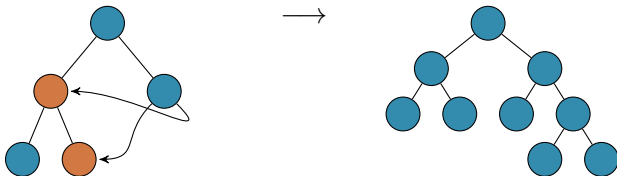
$\text{mapConst} :: (\text{Int} \rightarrow \text{Int}) \rightarrow \text{Expr} \rightarrow \text{Expr}$

$\text{mapConst } f (\text{Const } n) = \text{Const } (f \ n)$

$\text{mapConst } f (\text{Add } e_1 \ e_2) = \text{Add } (\text{mapConst } f \ e_1) \ (\text{mapConst } f \ e_2)$

$e'' :: \text{Expr}$

$e'' = \text{mapConst } \text{id } e'$



# Observable sharing

## Explicit graph structures

```
newtype Ref = Ref {unRef :: Int} deriving (Eq, Ord)
```

```
zero :: Ref
```

```
zero = Ref 0
```

```
succ :: Ref → Ref
```

```
succ (Ref n) = Ref (n + 1)
```

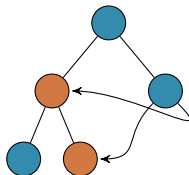
```
data ExprNode = Const' Int | Add' Ref Ref
```

```
data ExprGraph = ExprGraph {root :: Ref, env :: Map Ref ExprNode}
```

# Observable sharing

The fourth Fibonacci number, again

```
g :: ExprGraph
g = ExprGraph
    { root = Ref 4, env = fromList nodes }
  where
    nodes =
      [ (Ref 0, Const' 0)
      , (Ref 1, Const' 1)
      , (Ref 2, Add' (Ref 0) (Ref 1))
      , (Ref 3, Add' (Ref 1) (Ref 2))
      , (Ref 4, Add' (Ref 2) (Ref 3))
      ]
```



# Observable sharing

## Programming with graphs

```
mapConst' :: (Int → Int) → ExprGraph → ExprGraph
mapConst' f (ExprGraph root env) = ExprGraph root env'
  where
    env' = fmap mp env
    mp (Const' n) = Const' (f n)
    mp (Add' r1 r2) = Add' r1 r2
```

```
eval' :: ExprGraph → Int
eval' (ExprGraph root env) = go root
  where
    go r = fromJust (lookup r env')
    env' = fmap evl env
    evl (Const' n) = n
    evl (Add' r1 r2) = go r1 + go r2
```



# A universe of polynomial types

A *universe* for datatype-generic programming consists of:

- 1 A family of *codes* for datatypes.
- 2 An *interpretation* of codes in the host language.

# A universe of polynomial types

## Codes

```
infix 6 :+:  
infix 7 :*:  
data Id           -- recursive positions  
data Unit        -- nullary constructors  
data K a         -- base type  
data  $\varphi$  :+:  $\psi$   -- choice between constructors  
data  $\varphi$  :*  $\psi$    -- multiple constructor arguments
```

# A universe of polynomial types

## Interpreter

**data** *El*  $\varphi$  *a* **where**

*Id* :: *a* → *El Id a*  
*Unit* :: *El Unit a*  
*Int* :: *Int* → *El (K Int) a*  
*Char* :: *Char* → *El (K Char) a*  
*Inl* :: *El*  $\varphi$  *a* → *El* ( $\varphi$  *:+:*  $\psi$ ) *a*  
*Inr* :: *El*  $\psi$  *a* → *El* ( $\varphi$  *:+:*  $\psi$ ) *a*  
(*:\*:*) :: *El*  $\varphi$  *a* → *El*  $\psi$  *a* → *El* ( $\varphi$  *:\*:*  $\psi$ ) *a*

**instance** *Functor* (*El*  $\varphi$ ) **where**

fmap *f* (*Id* *x*) = *Id* (*f x*)  
fmap *f* *Unit* = *Unit*  
fmap *f* (*Int* *n*) = *Int* *n*  
fmap *f* (*Char* *c*) = *Char* *c*  
fmap *f* (*Inl* *xs*) = *Inl* (fmap *f* *xs*)  
fmap *f* (*Inr* *ys*) = *Inr* (fmap *f* *ys*)  
fmap *f* (*xs* *:\*:* *ys*) = fmap *f* *xs* *:\*:* fmap *f* *ys*

# A universe of polynomial types

## Encoding datatypes

A type **a** is polynomial if it is isomorphic to the fixed point of a polynomial functor **El**  $\varphi$ .

```
newtype Fix f = In {out :: f (Fix f)}
```

$$\begin{aligned} \mathbf{a} &\cong \text{Fix } (\text{El } \varphi) \\ &\cong \text{El } \varphi (\text{Fix } (\text{El } \varphi)) \\ &\cong \text{El } \varphi \mathbf{a} \end{aligned}$$

```
class Polynomial a where  
  type U a  
  out :: a  $\rightarrow$  F a a  
  inn :: F a a  $\rightarrow$  a  
type F a = El (U a)
```

# A universe of polynomial types

## Example

**instance** *Polynomial* Expr **where**

**type** U Expr = K Int :+: Id :\* Id

out (Const n) = const n

out (Add e<sub>1</sub> e<sub>2</sub>) = add e<sub>1</sub> e<sub>2</sub>

inn = expr Const Add

{- smart constructors -}

const :: Int → F Expr a

const n = Inl (Int n)

add :: a → a → F Expr a

add x y = Inr (Id x :\* Id y)

{- smart destructor -}

expr :: (Int → b) → (a → a → b) → F Expr a → b

expr f op (Inl (Int n)) = f n

expr f op (Inr (Id x :\* Id y)) = x 'op' y

# A universe of polynomial types

## Generic equality

**instance**  $Eq\ a \Rightarrow Eq\ (El\ \varphi\ a)$  **where**

$ld\ x_1 \equiv ld\ x_2 = x_1 \equiv x_2$

$Unit \equiv Unit = True$

$Int\ n_1 \equiv Int\ n_2 = n_1 \equiv n_2$

$Char\ c_1 \equiv Char\ c_2 = c_1 \equiv c_2$

$Inl\ xs_1 \equiv Inl\ xs_2 = xs_1 \equiv xs_2$

$Inr\ ys_1 \equiv Inr\ ys_2 = ys_1 \equiv ys_2$

$xs_1\ :*:\ ys_1 \equiv xs_2\ :*:\ ys_2 = xs_1 \equiv xs_2 \wedge ys_1 \equiv ys_2$

$- \equiv - = False$

**instance**  $Eq\ Expr$  **where**

$e_1 \equiv e_2 = out\ e_1 \equiv out\ e_2$

# A universe of polynomial types

## Generic ordering

**instance** *Ord* *a*  $\Rightarrow$  *Ord* (*El*  $\varphi$  *a*) **where**

|   |   |
|---|---|
| compare ( <i>Id</i> <i>x</i> <sub>1</sub> ) ( <i>Id</i> <i>x</i> <sub>2</sub> )                                   | = compare <i>x</i> <sub>1</sub> <i>x</i> <sub>2</sub>   |
| compare <i>Unit</i> <i>Unit</i>   | = <b>EQ</b>   |
| compare ( <i>Int</i> <i>n</i> <sub>1</sub> ) ( <i>Int</i> <i>n</i> <sub>2</sub> )                                 | = compare <i>n</i> <sub>1</sub> <i>n</i> <sub>2</sub>   |
| compare ( <i>Char</i> <i>c</i> <sub>1</sub> ) ( <i>Char</i> <i>c</i> <sub>2</sub> )                               | = compare <i>c</i> <sub>1</sub> <i>c</i> <sub>2</sub>   |
| compare ( <i>Inl</i> <i>xs</i> <sub>1</sub> ) ( <i>Inl</i> <i>xs</i> <sub>2</sub> )                               | = compare <i>xs</i> <sub>1</sub> <i>xs</i> <sub>2</sub>   |
| compare ( <i>Inl</i> <i>xs</i> <sub>1</sub> ) ( <i>Inr</i> <i>ys</i> <sub>2</sub> )                               | = <b>LT</b>   |
| compare ( <i>Inr</i> <i>ys</i> <sub>1</sub> ) ( <i>Inl</i> <i>xs</i> <sub>2</sub> )                               | = <b>GT</b>   |
| compare ( <i>Inr</i> <i>ys</i> <sub>1</sub> ) ( <i>Inr</i> <i>ys</i> <sub>2</sub> )                               | = compare <i>ys</i> <sub>1</sub> <i>ys</i> <sub>2</sub>   |
| compare ( <i>xs</i> <sub>1</sub> <i>*: ys</i> <sub>1</sub> ) ( <i>xs</i> <sub>2</sub> <i>*: ys</i> <sub>2</sub> ) | <i>xs</i> <sub>1</sub> $\equiv$ <i>xs</i> <sub>2</sub> = compare <i>ys</i> <sub>1</sub> <i>ys</i> <sub>2</sub><br>  otherwise = compare <i>xs</i> <sub>1</sub> <i>xs</i> <sub>2</sub> |

**instance** *Ord* *Expr* **where**

compare *e*<sub>1</sub> *e*<sub>2</sub> = compare (out *e*<sub>1</sub>) (out *e*<sub>2</sub>)

# Generic recursion patterns

## Catamorphisms

```
type Algebra  $\varphi$  b = El  $\varphi$  b  $\rightarrow$  b  
cata :: Polynomial a  $\Rightarrow$  Algebra (U a) b  $\rightarrow$  a  $\rightarrow$  b  
cata  $\varphi$  = h  
  where  
    h =  $\varphi \circ \text{fmap } h \circ \text{out}$ 
```

```
eval :: Expr  $\rightarrow$  Int  
eval = cata  $\varphi$   
  where  
     $\varphi$  = expr id (+)
```



# Generic recursion patterns

## Anamorphisms

```
type Coalgebra  $\varphi$  b = b  $\rightarrow$  El  $\varphi$  b
```

```
ana :: Polynomial a  $\Rightarrow$  Coalgebra (U a) b  $\rightarrow$  b  $\rightarrow$  a
```

```
ana  $\psi$  = h
```

```
where
```

```
h = inn  $\circ$  fmap h  $\circ$   $\psi$ 
```

```
fibs :: Int  $\rightarrow$  Expr
```

```
fibs = ana  $\psi$ 
```

```
where
```

```
 $\psi$  0 = const 0
```

```
 $\psi$  1 = const 1
```

```
 $\psi$  n = add (n - 2) (n - 1)
```

# Generic recursion patterns

## Monadic cata- and anamorphisms

```
type AlgebraM  $\varphi$  m b = El  $\varphi$  b  $\rightarrow$  m b  
type CoalgebraM  $\varphi$  m b = b  $\rightarrow$  m (El  $\varphi$  b)
```

```
cataM :: (Monad m, Polynomial a)  $\Rightarrow$  AlgebraM (U a) m b  $\rightarrow$  a  $\rightarrow$  m b
```

```
cataM  $\varphi_M = h$ 
```

**where**

```
h = ( $\gg\varphi_M$ )  $\circ$  mapM h  $\circ$  out
```

```
anaM :: (Monad m, Polynomial a)  $\Rightarrow$  CoalgebraM (U a) m b  $\rightarrow$  b  $\rightarrow$  m a
```

```
anaM  $\psi_M = h$ 
```

**where**

```
h = liftM inn  $\circ$  ( $\gg\text{mapM } h$ )  $\circ$   $\psi_M$ 
```

# Observable sharing, generically

```
data ExprNode = Const' Int | Add' Ref Ref  
data ExprGraph = ExprGraph { root :: Ref, env :: Map Ref ExprNode }
```

```
type Node a = F a Ref  
data Graph a = Graph { root :: Ref, env :: Map Ref (Node a) }
```

$\text{ExprNode} \cong \text{Node Expr}$

$\text{ExprGraph} \cong \text{Graph Expr}$

# Graph construction

```
data S a =  
  S { refs :: Map (Node a) Ref, nodes :: Map Ref (Node a), next :: Ref }  
newtype G a b = G { runG :: S a → (b, S a) }
```

```
ref :: Node a → G a Ref  
ref node = G $ λs → case lookup node (refs s) of  
  Nothing →  
    let r      = next s  
        refs' = insert node r (refs s)  
        nodes' = insert r node (nodes s)  
        next' = succ r  
    in (r, S refs' nodes' next')  
  Just r → (r, s)
```

```
reify :: G a Ref → Graph a  
reify = uncurry Graph ◦ (id × nodes) ◦ flip runG (S empty empty zero)
```

# Graph construction

## From trees to graphs

```
instance Monad (G a) where
  return x = G ((,) x)
  G h >>= k = G (uncurry (runG o k) o h)
```

```
ref  :: Node a → G a Ref
     ≅ F a Ref → G a Ref
     ≅ EI (U a) Ref → G a Ref
     ≅ AlgebraM (U a) (G a) Ref
```

```
toGraph :: Polynomial a ⇒ a → Graph a
toGraph = reify o cataM ref
```

# Generic recursion patterns (revisited)

## Graph catamorphisms

```
cataG :: Algebra (U a) b → Graph a → b
cataG φ graph = h (root graph)
  where
    h = φ ∘ fmap h ∘ outG graph
outG :: Graph a → Ref → Node a
outG graph r = fromJust (lookup r (env graph))
```

```
eval' :: Graph Expr → Int
eval' = cataG φ
  where
    φ = expr id (+)
```

```
fromGraph :: Polynomial a ⇒ Graph a → a
fromGraph = cataG inn
```

# Generic recursion patterns (revisited)

## Graph anamorphisms

$\text{hyloCM} :: (\text{Monad } m, \text{Ord } a) \Rightarrow \text{Coalgebra } \varphi \text{ } a \rightarrow \text{AlgebraM } \varphi \text{ } m \text{ } b \rightarrow a \rightarrow m \text{ } b$

$\text{hyloCM } \psi \varphi_M = \text{memo } h$

**where**

$h = \text{fmap } ((\gg\varphi_M) \circ \text{sequence}) \circ \text{traverse } \text{apply} \circ \psi$

$\text{anaG} :: \text{Ord } b \Rightarrow \text{Coalgebra } (\text{U } a) \text{ } b \rightarrow b \rightarrow \text{Graph } a$

$\text{anaG } \psi = \text{reify} \circ \text{hyloCM } \psi \text{ ref}$

$\text{fibs}' :: \text{Int} \rightarrow \text{Graph Expr}$

$\text{fibs}' = \text{anaG } \psi$

**where**

$\psi \text{ } 0 = \text{const } 0$

$\psi \text{ } 1 = \text{const } 1$

$\psi \text{ } n = \text{add } (n - 2) \text{ } (n - 1)$

# Summary

- Graph representations for observable sharing can be defined generically for all polynomial types.
- Maximal sharing and dropping observability can be implemented as datatype-generic functions.
- Lifting a cata- or anamorphism over a tree structure to a computation over a graph structure amounts to replacing the corresponding recursion combinator.



# Further work

- Using generic *generalised tries* in both graph construction and memoisation.
- Extending the universe to larger classes of types (regular types, systems of mutually recursive types, ...).
- Porting the library to Caml.
- Using the library in a real-world application.

# Appendix

## Effectful traversals

**instance** *Foldable* (El  $\varphi$ ) **where**

```
fold (ld m)      = m
fold Unit       = mempty
fold (Int n)    = mempty
fold (Char c)   = mempty
fold (Inl ms)   = fold ms
fold (Inr ks)   = fold ks
fold (ms :* ks) = fold ms 'mappend' fold ks
```

**instance** *Traversable* (El  $\varphi$ ) **where**

```
traverse f (ld x)      = pure ld  $\otimes$  f x
traverse f Unit       = pure Unit
traverse f (Int n)    = pure (Int n)
traverse f (Char c)   = pure (Char c)
traverse f (Inl xs)   = pure Inl  $\otimes$  traverse f xs
traverse f (Inr ys)   = pure Inr  $\otimes$  traverse f ys
traverse f (xs :* ys) = pure (:*)  $\otimes$  traverse f xs  $\otimes$  traverse f ys
```

# Appendix

## Hylomorphisms

```
hylo :: Coalgebra  $\varphi$  a  $\rightarrow$  Algebra  $\varphi$  b  $\rightarrow$  a  $\rightarrow$  b
hylo  $\psi$   $\varphi$  = h
  where
    h =  $\varphi \circ \text{fmap } h \circ \psi$ 
```

```
hyloM :: Monad m  $\Rightarrow$  Coalgebra  $\varphi$  a  $\rightarrow$  AlgebraM  $\varphi$  m b  $\rightarrow$  a  $\rightarrow$  m b
hyloM  $\psi$   $\varphi_M$  = h
  where
    h = ( $\gg\varphi_M$ )  $\circ$  mapM h  $\circ$   $\psi$ 
```

# Appendix

## Memoisation (1)

```
newtype Memo k v a =  
  Memo {runMemo :: (k → Memo k v v) → Map k v → (a, Map k v)}
```

```
apply :: Ord k ⇒ k → Memo k v v  
apply k = Memo $ λap vs → case lookup k vs of  
  Nothing →  
    let (v, vs') = runMemo (ap k) ap vs  
    in (v, insert k v vs')  
  Just v → (v, vs)
```

```
memo :: Ord k ⇒ (k → Memo k v v) → k → v  
memo ap k = fst (runMemo (apply k) ap empty)
```

# Appendix

## Memoisation (2)

```
instance Functor (Memo k v) where  
  fmap f (Memo h) = Memo $ \ap → (f × id) ∘ h ap
```

```
instance Applicative (Memo k v) where  
  pure x = Memo $ \ap vs → (x, vs)  
  Memo hf ⊗ Memo hx = Memo $ \ap vs →  
    let (f, vs') = hf ap vs  
        (x, vs'') = hx ap vs'  
    in (f x, vs'')
```

# Appendix

## Memoisation (3)

$\text{cataC} :: (\text{Polynomial } a, \text{Ord } a) \Rightarrow \text{Algebra } (\text{U } a) \text{ b} \rightarrow a \rightarrow b$

$\text{cataC } \varphi = \text{memo } h$

**where**

$h = \text{fmap } \varphi \circ \text{traverse } \text{apply} \circ \text{out}$

$\text{anaC} :: (\text{Polynomial } a, \text{Ord } b) \Rightarrow \text{Coalgebra } (\text{U } a) \text{ b} \rightarrow b \rightarrow a$

$\text{anaC } \psi = \text{memo } h$

**where**

$h = \text{fmap } \text{inn} \circ \text{traverse } \text{apply} \circ \psi$

$\text{hyloC} :: \text{Ord } a \Rightarrow \text{Coalgebra } \varphi \text{ a} \rightarrow \text{Algebra } \varphi \text{ b} \rightarrow a \rightarrow b$

$\text{hyloC } \psi \varphi = \text{memo } h$

**where**

$h = \text{fmap } \varphi \circ \text{traverse } \text{apply} \circ \psi$